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11332 U.S. PTO

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Approved for use through 10/31/2002 OMB 0651-0032

U.S. Patent and Trademark Office, U.S. DEPARTMENT OF COMMERCE

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<b>UTILITY PATENT APPLICATION TRANSMITTAL</b>		Attorney Docket No. <b>SONYJP 3.0-212</b>
		First Inventor <b>Satoshi Suzuki</b>
		Title <b>RECORDING AND REPRODUCING, etc.</b>
(Only for new provisional applications under 37 CFR 1.53(b))		Express Mail Label No. <b>EL844219595US</b>

<b>APPLICATION ELEMENTS</b>		<b>ADDRESS TO:</b> Box Patent Application Commissioner for Patents Washington, DC 20231	
See MPEP chapter 600 concerning utility patent application contents			
1. <input checked="" type="checkbox"/> Fee Transmittal Form (e.g., PTO/SB/17) <i>(Submit on original, and a duplicate for processing)</i>	7. <input type="checkbox"/> CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)		
2. <input type="checkbox"/> Applicant claims small entity status See 37 CFR 1.27	8. <input type="checkbox"/> Nucleotide and/or Amino Acid Sequence Submission <i>(if applicable, all necessary)</i>		
3. <input checked="" type="checkbox"/> Specification [Total Pages <b>18</b> ] <i>(preferred arrangement set forth below)</i>	a. <input type="checkbox"/> Computer Readable Form (CRF)		
- Descriptive title of the invention	b. <input type="checkbox"/> Specification Sequence Listing on		
- Cross Reference to Related Applications	i. <input type="checkbox"/> CD-ROM or CD-R (2 copies), or ii. <input type="checkbox"/> paper		
- Statement Regarding Fed sponsored R & D	c. <input type="checkbox"/> Statements verifying identity of above copies		
- Reference to sequence listing, a table, or a computer program listing appendix	<b>ACCOMPANYING APPLICATIONS PARTS</b>		
- Background of the invention	9. <input type="checkbox"/> Assignment Papers (cover sheet & document(s))		
- Brief Summary of the invention	10. <input type="checkbox"/> 37 CFR 3.73(b) Statement <input type="checkbox"/> Power of Attorney <i>(when there is an assignee)</i>		
- Detailed Description	11. <input type="checkbox"/> English Translation Document <i>(if applicable)</i>		
- Claims	12. <input type="checkbox"/> Information Disclosure Statement (IDS)/PTO-1449 <input type="checkbox"/> Copies of IDS - Abstract of the Disclosure		
4. <input checked="" type="checkbox"/> Drawing(s) (35 U.S.C. 113) [Total Sheets <b>6</b> ]	13. <input type="checkbox"/> Preliminary Amendment		
5. Oath or Declaration [Total Pages <b>1</b> ]	14. <input checked="" type="checkbox"/> Return Receipt Postcard (MPEP 503) <i>(Should be specifically itemized)</i>		
a. <input type="checkbox"/> Newly executed (original or copy)	15. <input checked="" type="checkbox"/> Certified Copy of Priority Document(s) <i>(if foreign priority is claimed)</i>		
b. <input type="checkbox"/> Copy from a prior application (37 CFR 1.63(d)) <i>(for continuation/divisional with Box 18 completed)</i>	16. <input type="checkbox"/> Nonpublication Request under 35 U.S.C. 122 (b)(2)(B)(i) Applicant must attach form PTO/SB/35 or its equivalent		
i. <input type="checkbox"/> <b>DELETION OF INVENTOR(S)</b> Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(b)(2) and 1.53(b)	17. <input checked="" type="checkbox"/> Other Unexecuted declaration		
6. <input type="checkbox"/> Application Data Sheet See 37 CFR 1.76			

18. If a CONTINUING APPLICATION, check appropriate box, and supply the requested information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76

☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) of prior application No. \_\_\_\_\_

Prior application information Examiner \_\_\_\_\_ Group / Art Unit \_\_\_\_\_

For CONTINUATION or DIVISIONAL APPS only The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

## 19. CORRESPONDENCE ADDRESS

☒ Customer Number or Bar Code Label

000530

or ☐ Correspondence address below

Name

Address

City

State

Zip Code

Country

Telephone

Fax

Name (Print/Type)

Robert B. Cohen

Registration No. (Attorney/Agent)

32,768

Signature

Date

October 29, 2001

Express Mail Label No. EL844219595US

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**FEE TRANSMITTAL  
for FY 2002**

Patent fees are subject to annual revision

**Complete if Known**

Application Number	Not Yet Assigned
Filing Date	October 29, 2001
First Named Inventor	Satoshi Suzuki
Examiner Name	Not Yet Assigned
Group Art Unit	N/A
Attorney Docket No.	SONYJP 3.0-212

TOTAL AMOUNT OF PAYMENT (\$ 740.00

**METHOD OF PAYMENT**

1. ☒ The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to

Deposit Account Number 12-1095

Deposit Account Name Lerner, David, Littenberg, Krumholz & Mentlik, LLP

☒ Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17 ☐ Applicant claims small entity status. See 37 CFR 1.27

2. ☐ Payment Enclosed

☐ Check ☐ Credit Card ☐ Money Order ☐ Other

**FEE CALCULATION**

**1. BASIC FILING FEE**

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description	Fee Paid
101 740 201	370	Utility filing fee	740.00
106 330 208	165	Design filing fee	
107 510 207	255	Plant filing fee	
108 740 208	370	Reissue filing fee	
114 160 214	80	Provisional filing fee	
<b>SUBTOTAL (1)</b>			<b>740.00</b>

**2. EXTRA CLAIM FEES**

Total Claims	Extra Claims	Fee from below	Fee Paid
7	-20** =	<input checked="" type="checkbox"/> 0.00	0.00
3	-3** =	<input checked="" type="checkbox"/> 0.00	0.00
Multiple Dependent <input type="checkbox"/> =			

**Large Entity Small Entity**

Fee Code (\$)	Fee Code (\$)	Fee Code (\$)	Fee Description	Fee Paid
103 18 203	9		Claims in excess of 20	
102 84 202	42		Independent claims in excess of 3	
104 280 204	140		Multiple dependent claim, if not paid	
109 84 209	42		** Reissue independent claims over original patent	
110 18 210	9		** Reissue claims in excess of 20 and over original patent	
<b>SUBTOTAL (2)</b>			<b>0.00</b>	

\*\*or number previously paid, if greater. For Reissues, see above

**FEE CALCULATION (continued)**

**3. ADDITIONAL FEES**

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description	Fee Paid
105 130 205	65	Surcharge - late filing fee or oath	
127 50 227	25	Surcharge - late provisional filing fee or cover sheet	
139 130 139	130	Non-English specification	
147 2,520 147	2,520	For filing a request for ex parte reexamination	
112 920* 112	920*	Requesting publication of SIR prior to Examiner action	
113 1,840* 113	1,840*	Requesting publication of SIR after Examiner action	
115 110 215	55	Extension for reply within first month	
116 400 216	200	Extension for reply within second month	
117 920 217	460	Extension for reply within third month	
118 1,440 218	720	Extension for reply within fourth month	
128 1,960 228	980	Extension for reply within fifth month	
119 320 219	160	Notice of Appeal	
120 320 220	160	Filing a brief in support of an appeal	
121 280 221	140	Request for oral hearing	
138 1,510 138	1,510	Petition to institute a public use proceeding	
140 110 240	55	Petition to revive - unavoidable	
141 1,280 241	640	Petition to revive - unintentional	
142 1,280 242	640	Utility issue fee (or reissue)	
143 480 243	230	Design issue fee	
144 920 244	310	Plant issue fee	
122 130 122	130	Petitions to the Commissioner	
123 50 123	50	Processing fee under 37 CFR 1.17(q)	
126 180 126	180	Submission of Information Disclosure Stmt	
581 40 581	40	Recording each patent assignment per property (times number of properties)	
146 740 246	370	Filing a submission after final rejection (37 CFR 1.129(a))	
149 740 249	370	For each additional invention to be examined (37 CFR 1.129(b))	
179 740 279	370	Request for Continued Examination (RCE)	
169 900 169	900	Request for expedited examination of a design application	
Other fee (specify)			
<b>*Reduced by Basic Filing Fee Paid</b>			<b>SUBTOTAL (3) (\$ 0.00</b>

**SUBMITTED BY**Name (print/type) Robert B. Cohen

Registration No. (Attorney/Agent)

32,768

**Complete (if applicable)**

Telephone (908) 518-6316

Signature

Date

October 29, 2001

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